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## DFG (German Research Foundation) & DST (Department of Science and Technology)

### General information

Pursuant to the Memorandum of Understanding (MoU) signed between the Department of Science and Technology, India (DST) and the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation) in October 2004 and the Minutes of the DST-DFG Joint Advisory Committee Meeting, signed on 4th April 2014, DST and DFG have opened the possibility for joint Indian-German project proposals in the field of chemistry and physics. Projects must have clear relevance to areas supported by both DST and DFG in the field of chemistry and physics and have to fall in the responsibility of the elected review boards (Fachkollegien) 301–311. Collaborations of research teams from both countries are invited to submit joint proposals.

It is important to note that there are no separate funds available for these efforts. Proposals must succeed on the strengths of their intellectual merit and teams. At DFG these proposals are submitted in the funding scheme „Sachbeihilfe/Research Grants“ and will be reviewed in competition with all other proposals in this scheme.

### Proposal preparation and submission

- Eligibility of collaborating scientists

DST	DFG
Faculty, scientists and academicians having permanent positions and belonging to universities, deemed universities and public funded research institutes can apply as a “Principal Investigator” (PI or Main Applicant).	On the DFG side the eligibility to submit a proposal follows the regulations for the funding scheme „Sachbeihilfe/Research Grants“ (DFG form 50.01). This includes the duty to cooperate (Kooperationspflicht) within Germany for members of non-university institutions with permanent positions.

- Content information

The language of the project description must be English. The proposal must include a description of the full proposed research programme and research team and describe the total resources for the joint project (that is, the funds requested for both the Indian and German groups). However, the budget submitted to DFG via elan should only indicate the amount requested from DFG.

To ensure that the review on both sides will be based on the same fundament **the research plan has to be identical**. Therefore, the description given in accordance with the points Part II, 2-9 of the project documentation for DST must be equivalent to the project description given in the proposal to the DFG, following the Project Proposal Preparation Instructions (form 54.01) under point 1 to 3.

<p><b>DST</b></p> <p>The proposal, including publication list, should not be more than 20 pages. It should be self-explanatory and not require reviewers to read the literature that is either quoted or enclosed. The proposals should contain a working plan, describing the tasks of the involved research groups and how the cooperation will be organized. The applicants should describe the added value of the cooperation, i.e. the special benefit resulting from the cooperation of German and Indian researchers. Each proposal must also be accompanied by a description of how the project reflects the theme of the call, both in terms of subject matter and organisation. Please include a list of own publications that are related to the proposed project. It serves as an important basis for assessing your proposal. Single applicant: two publications per year of the funding duration, multiple applicants: three publications per year of the funding duration.</p> <p>The budget estimates should be shown in a diagram in order to get an overview at a glance. Furthermore, the costs need to be carefully justified and derived from the working programme.</p>	<p><b>DFG</b></p> <p>Applications submitted to DFG have to fit the respective guidelines under the Research Grants Programme (Form 50.01).</p>
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- Funding

Project duration: Up to 3 years non-extendable

<p><b>DST</b></p> <p>Grants will be offered towards project specific costs viz. consumables, up to two scientific personnel, one project related workshop; travel and living costs etc. from each side. The financial support is NOT available under the scheme for the following activities:</p> <ol style="list-style-type: none"> <li>i) already existing collaborative projects where travel and living costs are financed by other sources;</li> <li>ii) Capital equipment;</li> <li>iii) Office equipment viz. desktops, laptops, scanners, printers, photocopy machines etc.</li> </ol> <p>The sending side will bear the travel costs (including medical overseas insurance, visa fees, etc.) from place of work in home institution to the place of work in host institution while the receiving side will provide local hospitality according to the norms of respective sides.</p>	<p><b>DFG</b></p> <p>Funding will be offered according to the rules of DFG Research Grants ("Sachbeihilfe"). This includes a mobility budget according to the German Traveling Expenses Act. German applicants should in accordance with DST rules respect only 2 visits (up to 30 days per year for Sr. researchers and up to 60 days for young researchers) per year from each side will be supported. A project participant can avail only one visit per year of the project. Each visit of a project participant is considered as one visit under the project.</p> <p>Per Diem for Indian researchers visiting Germany through this mechanism will be paid as per the following criteria, subject to rules of DFG:</p> <p>Guests from India (as a rule those with a doctorate) are granted a maintenance</p>
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<p>The applicable Institutional overhead charges payable to Indian Institute by DST is 10% of the total project cost.</p> <p>DST Rates for local hospitality: Rs 1000/- per diem for visits up to one month (30 days), in addition to the cost of the accommodation for the entire duration of the visit</p> <p>Generally, only 2 visits (up to 30 days per year for Sr. researchers and up to 60 days for young researchers) per year from each side will be supported. A project participant can avail only one visit per year of the project. Each visit of a project participant is considered as one visit under the project.</p>	<p>allowance. For stays of up to 22 days, per diem rates apply. For longer stays, the monthly rate applies.</p> <p>Category 1: Researchers with a doctoral degree Monthly rate: €2,350; Per diem: €105</p> <p>Category 2: Associate professors (W-2 or equivalent positions) Monthly rate: €2,650; Per diem €115</p> <p>Category 3: Full professors (W-3 or equivalent positions), guests with managerial responsibilities Monthly rate: €3,150; Per diem €160</p>
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- Evaluation of the proposals

All proposals will be reviewed by both organisations separately on the basis of scientific merit/quality, justification for scientific-collaboration, and compatibility of the participating team members. The results of the review process will be shared between the agencies. Support will only be granted for those proposals where both DST and DST recommend funding.

- Submission

Proposals must be submitted to both organisations in parallel in accordance with the proposal preparation requirements of each side, respectively. Proposals missing to fulfil all formal requirements shall be rejected.

<p><b>DST</b> Only ONE original hard copy of the applications is to be submitted to: Dr. Chadaram Sivaji, Scientist-F, International Bilateral Cooperation Division (Room No 1, TIFAC) Department of Science and Technology, Technology Bhawan, New Mehrauli Road, New Delhi-110 016 along with a softcopy in a single pdf file (not scanned) of the application as attachment to <a href="mailto:sivaji@nic.in">sivaji@nic.in</a></p>	<p><b>DFG</b> Submission via DFG's elan-system according to the guidelines in mandatory.</p>
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In accordance with an envisaged mutual starting date in late 2016 proposals should be submitted not later than **31 March 2016**.

### Further information

Guidelines DFG Research Grants Programme (DFG form 50.01):

[www.dfg.de/foerderung/formulare](http://www.dfg.de/foerderung/formulare)

DFG's Electronic Proposal Processing System for Applicants – elan:

<https://elan.dfg.de>

Contact persons at the DFG:

Dr. Daniel Pursche, phone: +49 228 885-2389, [daniel.pursche@dfg.de](mailto:daniel.pursche@dfg.de)

Dr. Alexander Hansen, phone +91 11 4922-4999, [alexander.hansen@dfg.de](mailto:alexander.hansen@dfg.de)

Contact person at DST:

Dr. Chadaram Sivaji, phone +91 11 26590457, [sivaji@nic.in](mailto:sivaji@nic.in)

## DST APPLICATION FORM

### PART 1: General information

1. Title of the project:
2. (Subject Area, as specified in the announcement)
3. Contact details / Address of the project leaders:

	Indian project leader	German Project leader
Name & Designation		
Department & Institute/ University (address)		
Telephone (Work)		
Telephone (Residence)		
Fax No.		
Email ID		

4. Other participants in the project:

Indian side	German side

### INSTITUTIONS ENDORSEMENT

Certified that –

- i) The project participants involved in the project as mentioned above are affiliated to the Institute/ University.
- ii) All information provided in the project proposal is correct; and
- iii) the necessary facilities including scientific equipment etc. for implementation of the project are available in the Institution and all necessary administrative support will be extended to the project

Signatures of the Indian PI	Signatures of the German PI
Signatures of the Indian Head of Indian Institution with seal	Signatures of the Indian Head of Indian Institution with seal

### PART-II

1. Project title
2. Scientific objectives
3. Current state of the research/ technology of the topic
4. Proposed activities including methodology of the proposed research work
5. Preliminary activities by the Indian side
  
6. Preliminary activities by the German side

7. Detailed description of the Indo-German co-operation  
(Justification/ Rationale for collaboration)
8. Compatibility of intensions of both sides with regard to individual components of the project, working method etc.
9. Expected results and dissemination plan  
*(Describe in detail the expected outcomes of the proposed activities and outline potential follow-on interactions between the involved institutes/institutions. If applicable, explain how you will disseminate your findings to key stakeholders and community and address potential for knowledge transfer to industry)*
10. List of milestones  
*(Outline major milestones of your project on a time-scale of months and list the activities that will be required in order to achieve the milestone)*
11. Ethical, safety and regulatory issues  
*(Does your proposed work raise ethical, safety or regulatory issues? If yes, how will you deal with it? Clearly indicate)*
12. List of the five most important publications of the project participants, which have a bearing on the project (please do not send copies of the publications themselves or lists detailing all publications of the group)

**PART-III Budget requirement:**

**A. Indian side (In Rupee only)**

**i. Visit of Indian scientists to Germany**

Name of Indian Scientists visiting Germany	Tentative dates of proposed visit and duration of stay in Germany	Anticipated budget estimates for international travel (including domestic travel in connection with the international travel, visa fees, medical insurance, etc) Please indicate break-up for each head
1.		
2.		
3.		
4.		

**ii. Visit of German scientists to India**

Name of German Scientists visiting India	Tentative dates of proposed visit and duration of stay in India	Per-diem charges @ Rs. 1000/- per day	Accommodation charges @ Rs. _____ per day
1.			
2.			
3.			
4.			

**iii. Other project costs:**

Item	Details i.e. name, number, quantity etc.	Budget requirement (1 <sup>st</sup> year )	Budget requirement (2 <sup>nd</sup> year)
Scientific manpower			
Spare parts / Consumables			
Workshop	Only one joint workshop during the currency of the project shall be supported		
Contingency etc.			

NOTE: The total project cost (i.e. A (i), A(ii) and A(iii) must not exceed Rs. 40 Lac)

**B. German side (In Euro only)**

**i. Visit of German scientists to India**

Name of Indian Scientists visiting Germany	Tentative dates of proposed visit and duration of stay in Germany	Anticipated budget estimates for international travel (including domestic travel in connection with the international travel, visa fees, medical insurance, etc) Please indicate break-up for each head
1.		
2.		
3.		
4.		

**ii. Visit of Indian scientists to Germany**

Name of German Scientists visiting India	Tentative dates of proposed visit and duration of stay in India	Per-diem charges @ Rs. 1000/- per day	Accommodation charges @ Rs. _____ per day
1.			
2.			
3.			
4.			

**iii. Other project costs:**

Item	Details i.e. name, number, quantity etc.	Budget requirement (1 <sup>st</sup> year )	Budget requirement (2 <sup>nd</sup> year)
Scientific manpower			
Spare parts / Consumables			
Joint workshop			
Contingency etc.			

**PART-IV (For Indian partners only)**

1. List of on-going/completed research projects with the Indian project participant(s):

Title of the project	Brief description	Duration with dates of commencement and completion of the project	Funding available and source of funds

2. Infrastructure available/required to implement the project:

Facilities	List of items required for the project	If not available presently, how it is proposed to be procured/recruited. Please indicate the source of funding
Equipment		
Manpower		
Consumables and Contingencies		

- Does the project involve (i) Biological Material Transfer / Use of GMOs / Radioactive materials; (ii) Human / animal trials?  
(If yes, submit necessary clearance of nodal agency / department of Govt in this regard)
- Is the collaborative proposal involves IPR related issues?  
(If yes, the partners need to submit the IPR sharing agreement by the collaborating institutes before sanction of the project by DST and DFG.
- Please mention Name/Address/Contact details including email address/ area of specialization of 3 possible peer-reviewers of the project propos