#### Department of Science & Technology Technology Systems Development (TSD) Programme

## **Terms & Conditions of the Grant**

1. Approval of the Research Proposal and the grant released for it is for the specific Project sanctioned and the released grant should be exclusively spent on the Project within the stipulated period. The Institution may use funds obtained from any other Organisation with the concurrence of DST, for the Project. Any un-spent balance out of the amount sanctioned must be surrendered to the Government of India through a crossed Cheque/ Demand Draft drawn in favour of Drawing & Disbursing Officer, DST.

2. For permanent, semi-permanent Assets acquired solely or mainly out of the Project grant, an audited record in the form of a register shall be maintained by the Organisation. The term "Assets" include (a) the immovable property acquired out of the grant; and (b) movable property of capital nature where the value exceeds Rs 1000/-. The Organisation is required to send to the Department of Science & Technology a list of Assets acquired from the grant. The grant shall not be utilized for construction of any building unless a specific provision is made for that purpose. Full infrastructural facilities by way of accommodation, water, electricity, communication, etc. for smooth implementation of the Project shall be provided by the Organisation.

3. All the Assets acquired from the grant will be the property of the Government of India and should not be disposed off or encumbered or utilized for purposes other than those for which the grant had been sanctioned, without the prior sanction of DST.

4. At the conclusion/ termination of the Project, the Government of India will be free to sell or otherwise dispose off the Assets which are the property of the Government. The Organization shall render to the Government necessary facilities for arranging the sale of these Assets. The Government of India has the discretion to gift the Assets to the Organization or transfer them to any other Organization if it is considered appropriate.

5. The Organization / PI will furnish Six-Monthly Progress Report (5 copies) of the work on the Project on half-yearly basis. The first half-year period shall be rounded off to the last date of the sixth month. The subsequent periods of six months shall begin from the first day of the following calendar month. In addition, DST may designate a Scientist/ Specialist or an Expert Panel to visit the Organization periodically to review the progress of the work being carried out and to suggest suitable measures to ensure realization of the objectives of the Project. During the implementation of the Project, the Organization will provide all facilities to the visiting scientist/ specialist or the Expert Panel by way of accommodation, etc. at the time of their visit. On completion of the Project, ten copies of a self contained Project Completion Report as per the DST format on the work done on the Project should be sent to DST.

6. At the time of seeking further installment of the grant, the Organization / PI has to furnish the following documents:

a) Utilisation Certificate (UC) and Statement of Expenditure (SE) for the previous financial year (in original or copy if sent earlier);

b) An authenticated Statement of Expenditure (SE) including committed Expenditure for the Project until the previous month.

7. Request for specific approval of DST to **carry forward** the unutilised grant to the next financial year for utilisation for the same Project, should be sent along with UC and SE, after completion of the financial year.

# 8. <u>The Comptroller & Auditor General of India, at his discretion, shall have the right</u> of access to the books and accounts of the Organisation maintained in respect of the grant received from the Government of India.

9. The Organization will maintain separate audited accounts for the Project. If it is found expedient to keep a part or whole of the grant in a bank account earning interest, the interest thus earned should be reported to DST and should be reflected in the Statement of Expenditure. The interest thus earned will be treated as a credit to the Organisation to be adjusted towards further installment of the grant.

10. The Organisation will not entrust the implementation of the work (except the out-sourced part as approved) for which the grant has been sanctioned to any other Organisation nor will it divert the grant receipts to any other Organisation as assistance. In case the Organisation is not in a position to implement or complete the Project, it should, forthwith, refund to DST the entire grant received by it or the balance grant remaining with it.

11. All the personnel including Research personnel appointed under the Project, for the full/ part duration of the Project, are to be treated as temporary employees and will be governed by the administrative rules/ service conditions (for leave, TA/DA, etc.) of the implementing Organization. They are not to be treated as employees of the Government of India and <u>DST will have no liability, whatsoever, for the project staff after the completion of the Project duration.</u>

12. For expeditious implementation of the research Project, PI will take the assistance of the Organization in the process of selection and appointment of staff and payment to them. Pay Scale and emoluments for the posts not covered under DST's OM are governed by the norms prevalent in the implementing Organisation or as agreed upon in consultation with DST.

## 13. **DST reserves the right to terminate the Project at any stage if it is convinced that** the grant has not been properly utilised or satisfactory progress is not being made.

# 14. The Project becomes operative with effect from the date on which the Draft/ Cheque is received by the implementing Organisation. This date should be immediately intimated by the Organisation authorities/ Principal Investigator to DST.

15. If PI to whom a grant for a Project has been sanctioned wishes to leave the Organisation where the Project is based, PI/ Organisation will inform DST of the same and in consultation with DST, take steps to ensure successful completion of the Project, before PI is relieved.

16. Investigators wishing to publish technical/ scientific papers based on the research work done under the Project should acknowledge the support received from DST, indicating the Programme. Investigators are expected to publish a few of their research papers emerging out of the Project in leading Indian Journals.

17. If the results of research are to be legally protected, the results should not be published without securing legal protection for the research results. For Projects identified to have a distinct potential for generating know-how, in the form of product/ process, that could be protected through patenting, copyrights etc., PI should carefully follow the "<u>Guidelines/</u><u>Instructions for Technology Transfer and Intellectual Property Rights</u>" provided in the <u>Guidelines for Implementing Research Projects</u> booklet issued by DST. For further information/ clarification on this subject, Advisor-Patent Facilitating Cell, Department of Science & Technology Bhavan, New Mehrauli Road, New Delhi - 110 016, (Phone- 011 26859581, Email: <u>raghav@nic.in</u>) may be contacted.

